Greggry E. Montope

Name

Signature

28,141

Date

JUNE 25, 2003

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Attorney Docket No. 520.37386VC2 UTILITY First Inventor PATENT APPLICATION MIKI, HIROSHI **TRANSMITTAL** Title SEMICONDUCTOR STORAGE DEVICE (Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: **Box Patent Application** Washington, DC 20231 SEE MPEP chapter 600 concerning utility patent application contents 1. 🛛 Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission Applicant claims small entity status. fif applicable, all necessary) See 37 CFR 1.27. a. Computer Readable Form (CRF) b. Specification Sequence Listing on: 3 X Specification (Total Pages: 35) i. . D CD-ROM or CD-R (2 copies); or -Descriptive title of the invention -Cross Reference to Related Applications ii. . D paper -Statement Regarding Fed sponsored R & D -Reference to sequence listing, a table, c. Statements verifying identity of above copies or a computer program listing appendix -Background of the Invention **ACCOMPANYING APPLICATION PARTS** -Brief Summary of the Invention -Brief Description of the Drawings (if filed) -Detailed Description 9. 📙 Assignment Papers (cover sheet & documents(s)) -Claim(s) -Abstract of the Disclosure 37 CFR 3.73(b) Statement Power of Attorney 4 🖾 (when there is an assignee) Drawing(s) (35 U.S.C. 113) [Total Pages: 21] English Translation Document (if applicable) 5. Oath or Declaration [Total Pages: 2] Copies of IDS Citations Information Disclosure Newly executed (original or copy) Statement (IDS)/PTO-1449 b. . Copy from a prior application (37 CFR 1.63 (d)) **Preliminary Amendment** (for continuation/divisional with Box 18 completed) Return Receipt Postcard (MPEP 503) (Should be specifically itemized) **DELETION OF INVENTOR(S)** 15. 🗀 Certified Copy of Priority Document(s) Signed statement attached deleting inventor(s) (if foreign priority is claimed) Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b) 16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 Application Data Sheet. See 37 CFR 1.76 or its equivalent. Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Divisional Continuation Continuation-in-part (CIP) of prior application No.: 10/026,615 Prior application information: Examiner: E. ABRAHAM Group Art Unit: 2826 For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label or Correspondence address below (Insert Customer No. or Attach bar code label here) Name ANTONELLI, TERRY, STOUT & KRAUS, LLP Address State City Zip <u>Code</u> Telephone Fax Country (703) 312-6600 (703) 312-6666 Registration No. (Attorney/Agent)

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Complete if Known FEE TRANSMITTAL Application Number R53(b) DIV. Of S.N. 10/026,615 June 25, 2003 for FY 2003 Filing Date First Named Inventor MIKI, HIROSHI Examiner Name F. ABRAHAM Effective 01/01/2003. Patent fees are subject to annual revision. 2826 Art Unit Applicant claims small entity status. See 37 CFR 1.27 Attorney Docket No. 520.37386VC2 TOTAL AMOUNT OF PAYMENT (\$) 750.00 METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) 3. ADDITIONAL FEES □ Check ☑ Credit Card □ Money Order □ Other □ None Small ☑ Deposit Account: Entity **Entity** Deposit Account Number 01-2135 Code (\$) Code (\$) Fee Description Fee Paid Deposit Account Name Antonelli, Terry, Stout & Kraus, LLP 1051 130 2051 65 Surcharge - late filing fee or oath The Commissioner authorized to: (check all that apply) 1052 50 2052 25 Surcharge - late provisional filing fee or □ Charge fees indicated below ☑ Credit any overpayments cover sheet Charge any additional fee(s) during the pendency of this 1053 1053 130 130 Non-English specification application Charge fees indicated below, except for the filing fee 1812 2.520 1812 2,520 For filing a request for ex parte reexamination to the above-identified deposit account. 920* 1804 Requesting publication of SIR prior to Examination action **FEE CALCULATION** 1805 1,840* 1805 1,840*Requesting publication of SIR after 1. BASIC FILING FEE Examiner action **Entity Small Entity** Large 1251 110 2251 55 Extension for reply within first month Fee Description Fee Fee Fee Fee Fee Paid 1252 410 2252 Code (\$) 205 Extension for reply within second month 750 1001 750 2001 375 Utility filing fee 1253 930 2253 465 Extension for reply within third month 330 2002 165 Design filing fee 1002 1254 1,450 2254 Extension for reply within fourth month 1003 520 2003 260 Plant filing fee 1,970 1255 2255 985 Extension for reply within fifth month 2004 375 Reissue filing fee 1004 750 1401 320 2401 160 Notice of Appeal 1005 160 2005 80 Provisional filing fee 1402 320 2402 160 Filing a brief in support of an appeal 1403 280 2403 140 Request for oral hearing 750 SUBTOTAL (1) 1451 1,510 1451 1,510 Petition to institute a public use proceeding 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1452 110 2452 55 Petition to revive - unavoidable Fee from 1453 1,300 2453 Petition to revive - unintentional 650 Extra Claims below Fee Paid 1501 1,300 2501 Utility issue fee (or reissue) Total Claims 4 -20** = 0 1502 470 2502 Design issue fee 235 Indep. Claims -3** = 0 1503 630 2503 315 Plant issue fee Multiple Dependent 1406 1460 Petitions to the Commissioner 130 130 1807 50 1807 Processing fee under 37 CFR 1.17(q) Small Entity Large Entity 1806 180 1806 180 Submission of Information Disclosure Stmt Fee Description Fee Fee 8021 40 8021 40 Recording each patent assignment per Code (\$) (\$) property (times number of properties) 1202 2202 Claims in excess of 20 Filing a submission after final rejection 750 2809 1809 201 84 2201 42 Independent claims in excess of 3 (37 ČFR § 1.129(a)) 750 375 For each additional invention to be 1810 2810 1203 2203 140 280 Multiple dependent claim, if not paid examined (37 CFR § 1.129(b)) 1204 84 2204 42 ** Reissue independent claims 1801 750 2801 375 Request for Continued Examination (RCE) over original patent 1802 900 1802 Request for expedited examination 900 of a design application 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent Other fee (specify)_ SUBTOTAL (2) \$ or number previously paid, if greater; For Reissues, see above. SUBTOTAL (3) (\$) *Reduced by Basic Filing Fee Paid

SUBMITTED BY Complete (if applicable) Registration No Name (Print/Type) 28.141 703-312-6600 E. Montone Attomey/Agent) Telephone Signature June 25, 2003

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Date

June 25, 2003

Signature

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